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on February 7, 2005

Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Examining Group 1635 Patent Application Docket No. USF-T150CX Serial No. 09/955,174

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Jane J. Zara

Art Unit

1635

Applicant

William G. Kerr

Serial No.

09/955,174

Filed

September 19, 2001

Conf. No.

9411

For

Control of NK Cell Function and Survival by Modulation of SHIP Activity

MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed. However, the applicant has not submitted copies of the U.S. patent or published U.S. application cited on attached Form PTO/SB/08 pursuant to 37 CFR 1.98(a)(2)(ii).

The applicant respectfully asserts that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statements.

Respectfully submitted,

Glenn P. Ladwig Patent Attorney

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Attachments: Form PTO/SB/08 (2 pages); copies of some references cited therein.



PTO/SB/08A (08-03)
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	Substitute for form 1449A/PTO				Complete if Known		
	INFORMATION DISCLOSURE				Application Number	09/955,174	
					Filing Date	September 19, 2001	
					First Named Inventor	William G. Kerr	
	6	use as many sheets	as nec	cessary)	Art Unit	1635	
			Examiner Name Jane J. Zara				
	Sheet	1	of	2	Attorney Docket Number	USF-T150CX	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	U1	US-6,703,215	03-09-2004	Erneux et al.	All	
<u>-</u> .	U2	US-2004/0072298	04-15-2004	Sauvageau et al.	All	
	U3	US-				
	U4	US-				
	U5	US-				
	U6	US-				

	FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document			Pages, Columns, Lines,			
Examiner Initials*	Cite No. 1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	_T <sup>6</sup>		
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	F5							
	F6							
	F7							

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Examiner	Date	
Signature	Considered	,

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard T.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

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Complete if Known					
Application Number	09/955,174				
Filing Date	September 19, 2001				
First Named Inventor	William G. Kerr				
Group Art Unit	1635				
Examiner Name	Jane J. Zara				
Attorney Docket Number	USF-T150CX				

	NON PATENT LITERATURE DOCUMENTS						
Examiner Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	R1	AGRAWAL, S. "Antisense oligonucleotides: towards clinical trials" TIBTECH, 1996, 14:376-387.					
	R2	BRAASCH, D.A. and COREY, D.R. "Novel antisense and peptide nucleic acid strategies for controlling gene expression" <i>Biochemistry</i> , 2002, 41(14):4503-4510.					
	R3	GEWIRTZ, A.M. et al. "Facilitating oligonucleotide delivery: Helping antisense deliver on its promise" Proc. Natl. Acad. Sci. USA, 1996, 93:3161-3163.					
	R4	HELGASON, C.D. et al. "Homeostasis and regeneration of the hematopoietic stem cell pool are altered in SHIP-deficient mice" <i>Blood</i> , 2003, 102:3541-3547.					
	R5	JEN, K-Y and GEWIRTZ, A.M. "Suppression of gene expression by targeted disruption of messenger RNA: Available options and current strategies" <i>Stem Cells</i> , 2000, 18:307-319.					
	R6	LIU, L. et al. "The Src homology 2 (SH2) domain of SH2-containing inositol phosphatase (SHIP) is essential for tyrosine phosphorylation of SHIP, its association with Shc, and its induction of apoptosis" <i>J. Biol. Chem.</i> , 1997, 272:8983-8988.					
	R7	MOODY, J.L. <i>et al.</i> "Anemia, thrombocytopenia, leukocytosis, extramedullary hematopoiesis, and impaired progenitor function in Pten* SHIP* mice: a novel model of myelodysplasia" <i>Blood</i> , 2004, 103:4503-4510.					
	R8	OKADA, H. et al. "Cutting edge: Role of the inositol phosphatase SHIP in B cell receptor-induced Ca <sup>2+</sup> oscillatory response" J. Immunol., 1998, 161:5192-5132.					
	R9						
	R10						
	R11						
-	R12						
	R13						

Examiner	Date	
Signature	Considered	

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Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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